

DETECTION OF THE ATMOSPHERE OF THE 1.6 EARTH MASS EXOPLANET GJ 1132 B

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ABSTRACT

Detecting the atmospheres of low-mass low-temperature exoplanets is a high-priority goal on the path to ultimately detect biosignatures in the atmospheres of habitable exoplanets. High-precision HST observations of several super-Earths with equilibrium temperatures below 1000 K have to date all resulted in featureless transmission spectra, which have been suggested to be due to high-altitude clouds. We report the detection of an atmospheric feature in the atmosphere of a 1.6 M_{\oplus} transiting exoplanet, GJ 1132 b, with an equilibrium temperature of ~ 600 K and orbiting a nearby M dwarf. We present observations of nine transits of the planet obtained simultaneously in the *griz* and *JHK* passbands. We find an average radius of $1.44 \pm 0.21 R_{\oplus}$ for the planet, averaged over all the passbands, which can be decomposed into a “surface radius” at $\sim 1.35 R_{\oplus}$, and higher contributions in the *z* and *K* bands. The *z*-band radius is 4σ higher than the continuum, suggesting a strong detection of an atmosphere. We deploy a suite of tests to verify the reliability of the transmission spectrum, which are greatly helped by the existence of repeat observations. The large *z*-band transit depth indicates strong opacity from H₂O and/or CH₄ or an hitherto unconsidered opacity. A surface radius of $1.35 \pm 0.21 R_{\oplus}$ allows for a wide range of interior compositions ranging from a nearly Earth-like rocky interior, with $\sim 70\%$ silicate and $\sim 30\%$ Fe, to a substantially H₂O-rich water world. New observations with HST and existing ground-based facilities would be able to confirm the present detection and further constrain the atmospheric composition of the planet.

Keywords: planetary systems — stars: fundamental parameters — stars: individual: GJ 1132

1. INTRODUCTION

M dwarfs are bounteous throughout our Galaxy, and host large numbers of planets (Cassan et al. 2012; Dressing & Charbonneau 2015). The myriad of planets orbiting M dwarfs is dominated by small and low-mass rocky bodies with masses and radii comparable to Earth’s: (Morton & Swift 2014; Gaidos et al. 2016), and many occur in multiple systems (Muirhead et al. 2015). Planets around M dwarfs are of particular interest because the dimness of the host stars means their habitable zones (e.g. Kopparapu et al. 2013) are located at short orbital periods, which are much more accessible to observational study (Gillon et al. 2016).

From an analysis of the M dwarfs observed by the *Kepler* satellite, Gaidos et al. (2016) found that there were on average 2.2 planets per star and that the radius distribution peaked at $1.2 R_{\oplus}$. These objects are expected to have tenuous atmospheres, with those of radius below approximately 1.5 – $1.6 R_{\oplus}$ being almost entirely rocky (Weiss & Marcy 2014; Rogers 2015). This raises a problem for the overarching goal of constraining the chemical compositions and atmo-

spheric characteristics of rocky planets, because the observational signatures of their atmospheres are below the levels detectable with current facilities.

GJ 1132 is a nearby very-low-mass star which was recently found to host a transiting and potentially rocky planet (Berta-Thompson et al. 2015, hereafter BT15) of mass $1.6 M_{\oplus}$ and radius $1.2 R_{\oplus}$. Its proximity to the Sun (12.04 ± 0.24 pc; Jao et al. 2005) means that it is comparatively bright and therefore well-suited to analyses aimed at constraining the properties of both the star and the planet. Schaefer et al. (2016) presented simulations of the interior and atmosphere of GJ 1132 b, finding that the atmosphere is likely tenuous and dominated by O₂. Whilst significantly hotter than Earth, the planet is one of the coolest transiting planets of known mass and is therefore of great interest for comparative planetology. BT15 found that GJ 1132 A is an old (5 Gyr or more) and slowly-rotating (rotation period 125 d) M4.5 V star, making it representative of a large population of planet host stars expected to be found in the (relatively) near future (Cloutier et al. 2016).

Table 1. Dates and number of the observations presented in this work.

Observing night	Number of observations						
	<i>g</i>	<i>r</i>	<i>i</i>	<i>z</i>	<i>J</i>	<i>H</i>	<i>K</i>
2016/01/14	54	63	72	69	252	224	
2016/02/14	59	59	44				
2016/02/24	108	104	108				
2016/02/27	116	121	124	123	372	364	369
2016/03/26	104	104	101				168
2016/03/29	94	96	95	97	385	353	358
2016/04/03	62	63	63	60			
2016/04/08	94	93	94	75	251	251	167
2016/05/17	62	64	68	65	280	277	273

In this work we present extensive simultaneous optical and near-infrared photometry of nine transits of the planet GJ 1132 b in front of the star GJ 1132 A. We use these data to redetermine the physical properties of the system, improve the fidelity of its orbital ephemeris, and construct a transmission spectrum of the planet. We then interpret the transmission spectrum using suites of theoretical spectra from two model atmosphere codes. We clearly detect the planetary atmosphere but the data in hand are not able to resolve ambiguities in the relative contributions of different molecules to the atmospheric opacity.

At $1.6 M_{\oplus}$ GJ 1132 b is by a substantial factor the lowest-mass planet with an atmosphere which has been observationally detected. The two other low-mass planets with detected atmospheres are 55 Cnc e (Winn et al. 2011), with a mass of $8.08 \pm 0.31 M_{\oplus}$ (Demory et al. 2016), for which Tsiaras et al. (2016) found atmospheric features that could most easily be explained by HCN opacity, and GJ 3470 b (Bonfils et al. 2012), with a mass of $13.7 \pm 1.6 M_{\oplus}$ (Biddle et al. 2014), which shows an enhanced radius in the blue attributable to Rayleigh absorption (Nascimbeni et al. 2013; Biddle et al. 2014; Dragomir et al. 2015).

Three other low-mass planets have been subjected to transmission spectroscopy which has failed to reveal atmospheric signatures, most likely due to the presence of clouds in their atmospheres. They are GJ 1214 b (Charbonneau et al. 2009), with a mass of $6.26 \pm 0.91 M_{\oplus}$ (Anglada-Escudé et al. 2013), for which no atmospheric features have been detected (Bean et al. 2010, 2011; de Mooij et al. 2012; Kreidberg et al. 2014b), HD 97658 b (Dragomir et al. 2013), with a mass of $7.55^{+0.83}_{-0.79} M_{\oplus}$ (Van Grootel et al. 2014), for which there was also a non-detection of the atmosphere (Knutson et al. 2014b), and GJ 436 b (Gillon et al. 2007), with a mass of $25.4 \pm 2.1 M_{\oplus}$ (Lanotte et al. 2014), for which Knutson et al. (2014a) found no atmospheric features.

2. OBSERVATIONS AND DATA REDUCTION

Extensive observations of GJ 1132 were obtained in service mode using the GROND multi-band imager

(Greiner et al. 2008) mounted on the MPG 2.2 m telescope at ESO La Silla, Chile. This instrument acquires images simultaneously in four optical and three near-infrared passbands. A total of nine transits were observed, using the telescope-defocussing approach (Alonso et al. 2008; Southworth et al. 2009) with point spread functions (PSFs) of typically 30 pixels in radius. A tenth transit observation suffered from a large systematic effect during transit, due either to weather or instrumental effects, so was not included in our analysis.

The optical bands have response functions similar to those of the SDSS *g*, *r*, *i* and *z* filters (Fukugita et al. 1996). They are equipped with $2k \times 2k$ CCDs which see (approximately) the same $5.4' \times 5.4'$ field, with a plate scale of $0.158'' \text{ pixel}^{-1}$, and are each read out through two amplifiers. The near-infrared bands have $1k \times 1k$ Rockwell HAWAII-1 detectors with a field of view of $10' \times 10'$, which fully encompasses the optical field, at a plate scale of $0.6'' \text{ pixel}^{-1}$.

Several phenomena affected the quality of our optical observations. Firstly, GJ 1132 is observationally difficult for the GROND imager due to its low T_{eff} and concomitant large variations in flux level through the optical wavelength region. The requirement for a common exposure time and focus level meant a compromise had to be made between obtaining adequate count rates in the *g* band whilst avoiding overexposure in the *z* band. Three of the *z*-band observing sequences suffered from saturation effects which precluded the extraction of reliable photometry from these images. Secondly, an issue was encountered in the *r* and *z* bands attributable to electronic noise in the CCD controllers. This caused approximately 0.3% of the pixels on each image to be assigned count rates near the bias level, with the identities of the affected pixels varying at random with each image. When these coincided with the point spread functions (PSFs) of the target or comparison stars they caused a non-astrophysical drop in the number of counts detected from the star, increasing the scatter on the photometry. A non-repeating effect such as this cannot be calibrated out, but a partial mitigation of the effect was achieved by detecting each pixel with anomalously low count rates and replacing its value with the mean level from the adjacent eight pixels. Thirdly, the small field of view of GROND meant that the *r*, *i* and *z* bands suffered from a lack of decent comparison stars. Photometry was therefore performed against comparison stars which were significantly fainter than GJ 1132 itself. A brief observing log of the nine included transits is given in Table 1.

The optical data were reduced using the DEFOT pipeline (Southworth et al. 2009, 2014), which performs aperture photometry using the APER routine from DAOPHOT (Stetson 1987) contained in the NASA ASTROLIB library¹. The aperture positions and sizes were specified manually in order

¹ <http://idlastro.gsfc.nasa.gov/>

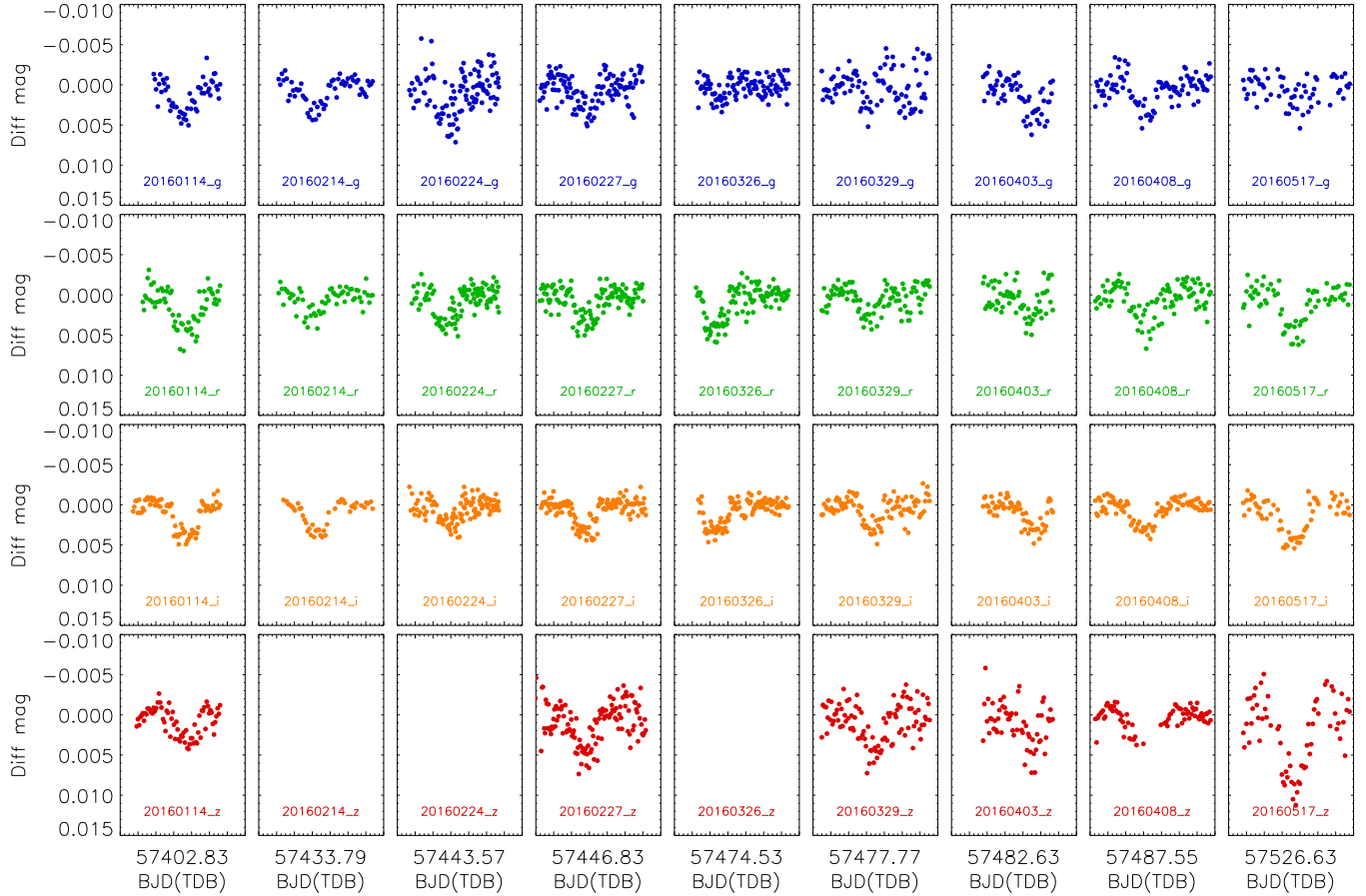


Figure 1. The optical light curves of GJ 1132 obtained using GROND, arranged in rows according to passband and in columns according to date. The filter and date are encoded in the names of the datafile printed at the base of each panel. Each plot covers a total of 0.14 d centred on the time given on the x-axis.

to yield data with the lowest statistical and systematic errors. The science images were not calibrated using bias or flat-field frames as these tended to have little effect on the final light curves beyond a slight increase in the scatter of the datapoints. Differential magnitudes for each light curve were formed versus an optimal ensemble of comparison stars, whilst simultaneously fitting for and removing a quadratic trend of magnitude with time caused primarily by airmass variations. The timestamps were converted into the BJD(TDB) system using routines from [Eastman et al. \(2010\)](#). As a final step, we rescaled the errorbars for each light curve to obtain a reduced χ^2 of $\chi^2_\nu = 1.0$ versus a fitted model (see below). The light curves are shown in Fig. 1 and will be made available at the CDS².

The infrared data were reduced using standard IDL routines following the methods outlined by [Chen et al. \(2014\)](#). In brief, we constructed a master dark frame and a master flat field by median-combining the individual dark and sky flat field images obtained before the science observations. From

each science image we subtracted the master dark frame and divided by the normalised master flat field. We obtained the light curves using aperture photometry routines to extract the flux of target and several comparison stars. We also tried to correct for the odd-even readout pattern present along the X-axis, but found no improvement in the light curve so decided not to include the correction for this effect when obtaining our final light curves. We were able to obtain useful results for five transits in each band (Table 1); the remaining datasets suffered from correlated noise features which were larger than the transit depth.

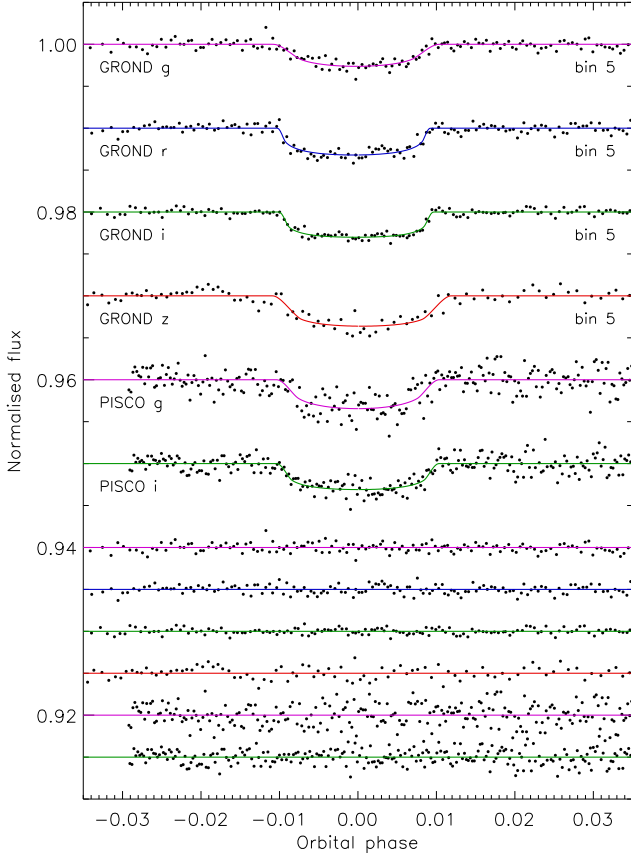
3. LIGHT CURVE MODELLING

The optical GROND data were combined into four sets, one for each of the *g*, *r*, *i* and *z* passbands, and then each was modelled using the JKTEBOP code ([Southworth 2013](#)). We fitted for the orbital inclination (*i*), time of mid-transit (T_0), and the sum and ratio of the fractional radii ($r_A + r_b$ and $k = \frac{r_b}{r_A}$) where the fractional radii are those of the star and planet in units of the orbital semimajor axis ($r_{A,b} = \frac{R_{A,b}}{a}$). The orbital period was fixed to the known value (see Section 4) and the orbit was assumed to be circular. A quadratic

² <http://cdsweb.u-strasbg.fr>

Table 2. Parameters of the fit to the GROND and PISCO light curves of GJ 1132 from the JKTEBOP analysis).

Source	$r_A + r_b$	k	i ($^\circ$)	r_A	r_b
GROND g -band	0.102 ± 0.028	0.0535 ± 0.0060	85.4 ± 2.0	0.097 ± 0.026	0.0052 ± 0.0019
GROND r -band	0.066 ± 0.019	0.0517 ± 0.0040	88.5 ± 2.1	0.063 ± 0.018	0.0032 ± 0.0012
GROND i -band	0.070 ± 0.014	0.0514 ± 0.0023	87.9 ± 1.6	0.067 ± 0.013	0.0034 ± 0.0008
GROND z -band	0.106 ± 0.028	0.0611 ± 0.0061	85.3 ± 1.9	0.100 ± 0.026	0.0061 ± 0.0021
PISCO g -band	0.117 ± 0.039	0.0633 ± 0.0086	84.5 ± 2.6	0.110 ± 0.036	0.0070 ± 0.0029
PISCO i -band	0.086 ± 0.030	0.0541 ± 0.0050	86.6 ± 2.4	0.082 ± 0.028	0.0044 ± 0.0019
Final results	0.080 ± 0.009	0.0531 ± 0.0017	86.6 ± 0.8	0.076 ± 0.008	0.0040 ± 0.0006

**Figure 2.** Optical light curves of GJ 1132 from this work (GROND) and from PISCO (BT15), compared to the JKTEBOP best fits. The GROND data have been binned by a factor of 5 and plotted versus orbital phase in order to make the plot clearer; all analysis in this work was based on the original data. The residuals of the fits are plotted at the base of the figure, offset from unity. Labels give the source and passband for each dataset. The polynomial baseline functions have been removed from the data before plotting.

function versus time was applied to the magnitude values for each observed transit in order to propagate the uncertainty in this from the light curve generation process.

Limb darkening was incorporated using each of five laws (see Southworth 2008) and with the nonlinear coefficient fixed. Fits were obtained with the linear coefficient either fixed or fitted in order to see how this changed the results. We adopted limb darkening coefficients calculated using the PHOENIX model atmospheres by Claret (2004), and

linearly interpolated the coefficients to the stellar temperature of $T_{\text{eff}} = 3270 \pm 140$ K (BT15).

An additional complication arises due to the presence of the faint nearby star USNO B1.0 0428-0265237 (Monet et al. 2003), which was separated from GJ 1132 by approximately $6.5''$ at the times of our observations. A small fraction of the flux from this star leaked into the aperture used to measure the counts of GJ 1132. From a simple PSF model we measured the amount of contamination as $1.2 \pm 0.3\%$ in the g -band, $0.5 \pm 0.2\%$ in r , $0.3 \pm 0.1\%$ in i and $0.2 \pm 0.1\%$ in z , where the errorbars are very conservative. This effect was included as ‘third light’ in the JKTEBOP model following the approach in Southworth (2010).

The best fits are plotted in Fig. 2 in the case of fixed limb darkening coefficients. We also modelled the two best light curves of GJ 1132 from BT15, which are the g - and i -band data from the PISCO imager. Their appearance in Fig. 2 differs from that in BT15, leading us to investigate the discrepancy. We checked for correlation with the instrumental parameters supplied with the flux measurements (airmass, x-position, y-position, PSF width and sky background level), finding linear Pearson correlation coefficients less than 0.13 in all cases. We therefore conclude that the difference in appearance is because BT15 binned their data into 1.5 min intervals before plotting it.

Table 2 gives the best-fitting photometric parameters for each light curve, together with the weighted mean value for each parameter. Error estimates for the photometric parameters were obtained using Monte Carlo (Southworth et al. 2004) and residual-permutation simulations (Southworth 2008), and the larger value retained in each case. These were then inflated to account for any variation between the solutions adopting the five different limb darkening laws. Finally, the weighted mean values agree well for all parameters, indicating that the errorbars are robust. We have chosen to adopt the solutions with all limb darkening coefficients fixed, but the alternative solutions with one fitted coefficient agree to well within the uncertainties.

4. ORBITAL EPHEMERIS

Many of our light curves have a relatively high scatter compared to the transit depth. We therefore combined all four

Table 3. Times of mid-transit from the data presented in this work.

Epoch	Transit time (BJD/TDB)
0.0	2457184.55786 \pm 0.00032
134.0	2457402.83351 \pm 0.00026
153.0	2457433.78361 \pm 0.00024
159.0	2457443.55662 \pm 0.00039
161.0	2457446.81540 \pm 0.00028
178.0	2457474.50661 \pm 0.00030
180.0	2457477.76559 \pm 0.00043
183.0	2457482.65244 \pm 0.00046
186.0	2457487.53908 \pm 0.00031
210.0	2457526.63273 \pm 0.00030

light curves of each individual transit into a single dataset and fitted them with JKTEBOP whilst fixing the sum of the radii ($r_A + r_b$) to the best fit from Table 2. This yielded nine measured times of mid-transit, which are given in Table 3.

We augmented these timing measurements with the reference time of mid-transit given by BT15 from a global analysis of their photometric data ($2457184.55786 \pm 0.00032$). The timings were then fit with a straight line to yield the linear ephemeris:

$$T_0 = \text{BJD(TDB)} \ 2457184.55753(36) + 1.6289296(22) \times E$$

where the fit has $\chi^2_\nu = 1.49$ and the uncertainties (given in brackets and relative to the preceding digit) have been increased to account for this. The scatter around the best fit gives no indication of deviations from a linear ephemeris, so we do not find any evidence for transit timing variations.

5. PHYSICAL PROPERTIES OF GJ 1132

The physical properties of the GJ 1132 system were established by BT15 using the following steps. Firstly, the measured trigonometric parallax (BT15, [Jao et al. 2005](#)) and 2MASS *JHK* magnitudes were used to determine the *K*-band absolute magnitude of the star, from which its mass was found via the calibration by [Delfosse et al. \(2000\)](#). Secondly, an empirical mass–density calibration ([Hartman et al. 2015](#)) was used to find the density and thus radius of the star. Thirdly, the transit light curves were fitted with the stellar density constrained to the value found in step 2, which is equivalent to constraining r_A .

We are in a position to modify this approach to rely less on general empirical calibrations and more on data obtained for GJ 1132 itself. We adopted the stellar mass of $0.181 \pm 0.019 M_\odot$ from BT15, and used this along with the photometric parameters measured in Sec. 3 to determine the physical properties of the system using standard equations (e.g. [Hilditch 2001](#)). The uncertainties were propagated by a Monte Carlo approach. The outcome of this process is summarised in Table 4. It can be seen that we find a rather lower density for the star – measured directly from the light curves

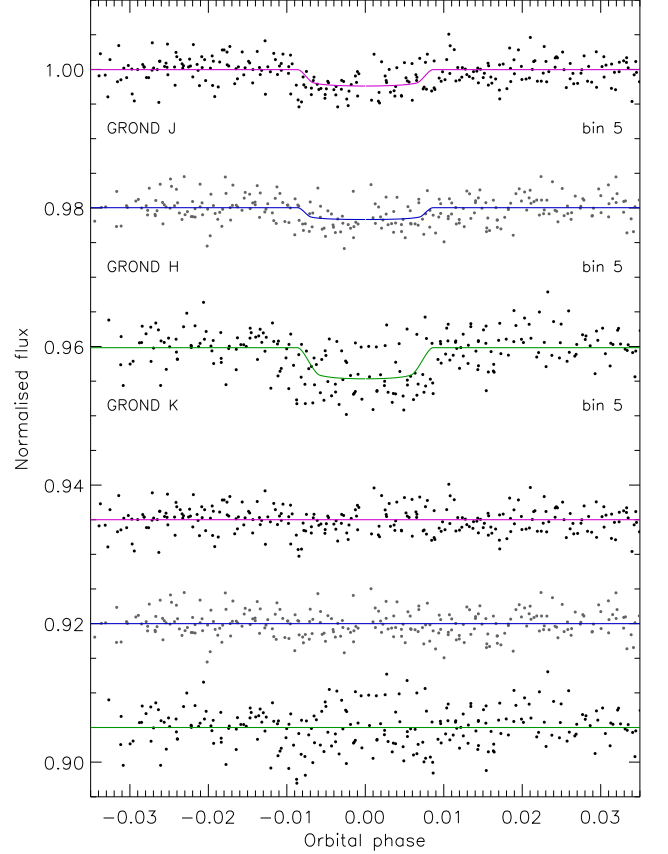


Figure 3. NIR light curves of GJ 1132 compared to the JKTEBOP best fits. The data have been binned by a factor of 5 and plotted versus orbital phase in order to make the plot clearer. Labels give the source and passband for each dataset. The polynomial baseline functions have been removed from the data before plotting. The residuals of the fits are plotted at the base of the figure, offset from unity.

whereas BT15 obtained their value from a calibration of stellar properties – which results in increased radii for both star and planet. The measured density of GJ 1132 b has decreased by a factor of two (1.4σ), which has a significant impact on the likely properties of this body.

6. TRANSMISSION SPECTRUM OF GJ 1132 B

The main aim of the current work is to explore if constraints on the atmospheric composition of GJ 1132 b can be obtained using multi-band photometry. We therefore sought to determine the radius of the planet in each of the passbands for which we possess a light curve. It is important to remove sources of uncertainty common to all passbands, so that the significance of any *relative* variations between passbands can be assessed.

Following the approach of [Southworth et al. \(2012\)](#), we modelled the nine available light curves (GROND *griz*, GROND *JHK* and PISCO *gi*) with r_A , i and the orbital period fixed to the final values determined above. We included as fitted parameters r_b , the reference time of mid-transit, and the quadratic function of magnitude versus time

Table 4. Derived physical properties of GJ 1132 from the current work. The values found by BT15 are included for comparison.

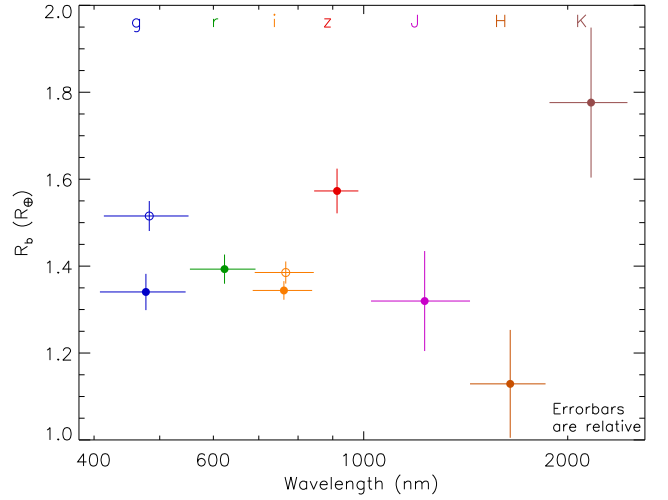
Quantity	Symbol	Unit	This work	BT15
Stellar mass	M_A	M_\odot	0.181 ± 0.019	0.181 ± 0.019
Stellar radius	R_A	R_\odot	0.250 ± 0.030	0.207 ± 0.016
Stellar surface gravity	$\log g_A$	cgs	4.90 ± 0.10	
Stellar density	ρ_A	ρ_\odot	$11.5^{+5.2}_{-3.2}$	21.0 ± 4.3
Planet mass	M_b	M_\oplus	1.63 ± 0.54	1.62 ± 0.55
Planet radius	R_b	R_\oplus	1.44 ± 0.21	1.16 ± 0.11
Planet surface gravity	g_b	m s^{-2}	$7.7^{+3.5}_{-4.1}$	11.7 ± 4.3
Planet density	ρ_b	g cm^{-3}	$3.0^{+2.2}_{-1.3}$	6.0 ± 2.5
Equilibrium temperature	T'_{eq}	K	637 ± 46	579 ± 15
Orbital semimajor axis	a	au	0.01533 ± 0.00053	

Table 5. Values of r_b for each of the six light curves. The errorbars in this table exclude all common sources of uncertainty so should only be used to interpret relative differences in r_b . To convert r_b to R_b we multiplied by 23455.0, the orbital semimajor axis in units of R_\oplus . The central wavelengths and full widths at half maximum transmission are given for the GROND filters.

Passband	Central wavelength (nm)	Band full width (nm)	r_b
GROND <i>g</i>	477	138	0.00373 ± 0.00012
GROND <i>r</i>	623	138	0.00387 ± 0.00009
GROND <i>i</i>	763	154	0.00374 ± 0.00006
GROND <i>z</i>	913	137	0.00437 ± 0.00014
PISCO <i>g</i>			0.00421 ± 0.00010
PISCO <i>i</i>			0.00385 ± 0.00007
GROND <i>J</i>	1230	410	0.00367 ± 0.00032
GROND <i>H</i>	1645	420	0.00314 ± 0.00038
GROND <i>K</i>	2165	570	0.00499 ± 0.00048

for each transit. We adopted quadratic limb darkening with the linear coefficient fitted and the nonlinear coefficient fixed to the values used above. Third light was included for the optical bands and constrained as in Section 3. For the *JHK* bands we neglected third light, as it is negligible at infrared wavelengths, but iteratively clipped points lying more than 3σ from the best fit in order to remove scattered data which was biasing the fitting process. The light curves and best fits are shown in Fig. 3.

For each light curve we determined the best-fitting r_b , and obtained its uncertainty via Monte Carlo simulations. The results of this process are given in Table 5 along with details of the passbands used, and shown in Fig. 4. For the purposes of visualising our results we assumed that the PISCO passbands correspond to those from Fukugita et al. (1996). It can be seen that the *g*, *r* and *i* passbands agree well, except for a discrepancy between our own *g*-band results and those from the PISCO *g*-band data from BT15. We suggest that our r_b value is more reliable as it is based on observations of nine transits whereas the PISCO data cover only one transit so may harbour some undetected red noise. It is also apparent

**Figure 4.** Transmission spectrum of GJ 1132 b: the measured planetary radius (R_b) as a function of the central wavelength of the passbands used. The passband names are given at the top of the plot. The horizontal lines indicate the FWHM of the passband used and the vertical lines show the relative errorbars in the R_b measurements.

that the radius of the planet in *z* is significantly larger than that in the other passbands, the discrepancy being a maximum of 4.1σ versus our *i*-band value of r_b .

6.1. Testing the robustness of the results

The optical transmission spectrum is the most important result of the current work, so we have deployed a suite of tests to probe the reliability of the radius values and errorbars found for each passband. These have been applied to the optical bands only, as it is here that the measurements are most precise and extensive, and because the optical bands are the most affected by issues such as limb darkening and contaminating light.

6.1.1. Sensitivity to individual transit observations

A cursory inspection of Fig. 1 reveals that the transit depth in each passband may vary between different transits. The most obvious indicators are an unusually shallow transit in *g* on 2016/03/26 and an apparently very deep transit in *z* on

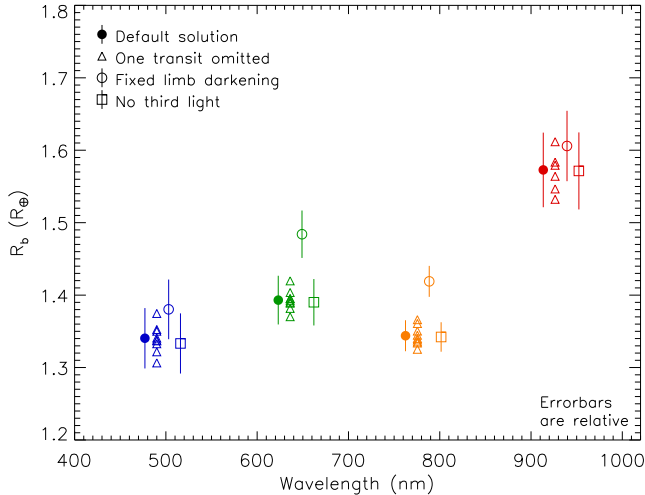


Figure 5. Results of testing the measurements of the planetary radius (R_b) in the GROND *griz* passbands. The vertical lines show the relative errorbars in the R_b measurements. This figure shows the different measurements of R_b obtained whilst performing several tests on the robustness of the results. The results from the various tests are offset in wavelength for clarity.

2016/05/17. This possibility can be probed by determining a set of solutions in each passband, each one based on the full dataset but for one transit omitted. We performed this analysis for the GROND data and plot the results in Fig. 5. The PISCO data were not considered: they are unsuitable for this analysis because they cover only one transit.

We found that the planetary radius measurements were not significantly affected by the omission of individual transit datasets: all results exist within the 1σ errorbars found for our default solution. We conclude that our results are robust against the omission of individual light curves. This is a particularly powerful test in the current case, because of the large number of datasets obtained in each band, and makes us confident in the reliability of both the measured values and errorbars of the optical transmission spectrum.

6.1.2. Treatment of limb darkening

Our default approach to obtaining the transmission spectrum was to model limb darkening using the quadratic law, with the linear coefficient included as a parameter of the fit and the nonlinear coefficient fixed to a value interpolated from theoretical predictions by Claret (2004). This causes a dependence on theoretical model atmospheres, which is an important consideration for a planet host star as cool as GJ 1132 A. We therefore calculated the planetary radius measurements in the GROND and PISCO bands using an alternate approach: fixing both limb darkening coefficients.

Fig. 5 shows the effect on the results for the GROND bands; the PISCO bands show a similar effect. We find that fixing both limb darkening coefficients causes the solution to move to higher planetary radius. Whilst the effect is similar for all four bands, it affects *r* and *i* more than *g* and *z*. It therefore modifies the transmission spectrum at the $1-2\sigma$

level, in particular the slope seen through the optical wavelength region. As the *g* and *z* bands are relatively unaffected, LD cannot explain the anomalously large radius we find in the *z* band. However, we conclude that observed transmission spectra can be significantly affected by the treatment of limb darkening and urge future studies to check for this possibility in all cases.

6.1.3. Effect of contaminating light

Our default solution includes a third-light contribution from a nearby star. This phenomenon can have a significant effect on the transmission spectrum when the spectral energy distribution of the target and contaminant differ (Southworth & Evans 2016), as is the case here. We tested the importance of this effect by calculating solutions for the GROND data whilst neglecting the third-light contribution.

Once again, the results are represented in Fig. 5 and show that the contamination from the nearby star has a negligible effect on the results. The effect is 0.12σ for the *g* band and smaller for the other bands. The PISCO data will be similarly (un)affected. We conclude that our transmission spectrum measurements are robust against an amount of contaminating light significantly in excess of that currently known for GJ 1132.

6.1.4. Temporal variability

We modelled the light curves from all passbands but for each transit individually, in order to check for the presence of temporal variability in the planet. To deal with the complication of having *z*-band data available for only a subset of the transits, we obtained results for the *g*, *r* and *i* bands together, and also for all four optical bands when possible.

Our results are shown in Fig. 6. We find several outliers on this plot, and these are generally associated with an increased scatter in the transit light curves. There is no overall trend in measured planet radius during our observations. Outliers such as those seen in Fig. 6 could arise from dark spots on the surface of the star, although this is unlikely in the current case

6.1.5. Stellar activity

Low-mass stars frequently show dark starspots, which are capable of modifying the transit depth. Starspots occulted by the planet will make the transit shallower, and unocculted starspots will make it deeper (e.g. Ballerini et al. 2012; Tregloan-Reed et al. 2013; Oshagh et al. 2013). GJ 1132 A is a relatively old star so will not show strong activity, but the fact that a rotation period has been observed (125 d, BT15) means that it does show *some* starspots. However, there is evidence that many M dwarfs show a large number of small spots (Jackson & Jeffries 2012, 2013), which would greatly reduce the effect of spot activity because the occulted part of the stellar surface would be very similar to the unocculted areas. This is consistent with the lack of starspot features seen

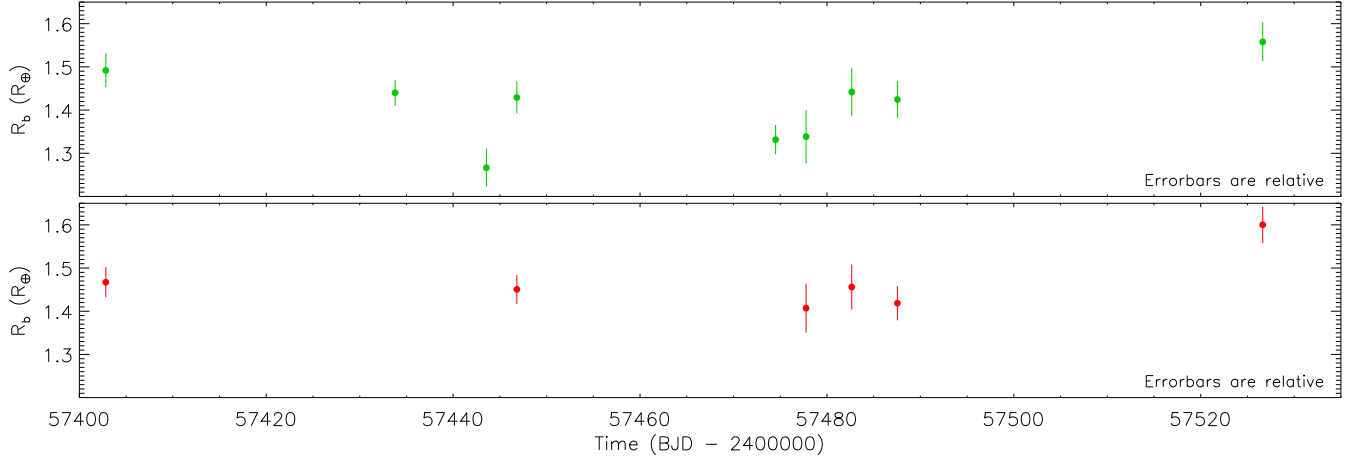


Figure 6. Planetary radius measurements from individual transits. The upper panel shows results for fitting the g , r and i -band data together. The lower panel includes also the z -band data, which are available for six of the nine transits. The errorbars include only those contributions specific to individual transits, and do not include sources of uncertainty common to all transits. The dotted line shows the overall measured value of $R_b = 1.44 R_\oplus$ found in Section 5.

in transits of planets in front of M dwarfs (e.g. [Mancini et al. 2014](#); [Awiphan et al. 2016](#)).

The very small radius of GJ 1132 b to that of GJ 1132 A means that unocculted starspots would be the dominant contributor to transit depth variations for this system. Because starspots are cooler than the rest of the stellar surface, unocculted spots would have a wavelength-dependent effect on the transit depth. They would cause transits to become gradually deeper as one observed at bluer wavelengths. This does not provide an explanation for the current case, where the z -band radius is significantly larger than the gri -band points. For completeness, we note that unocculted plage could have the opposite effect (see also [Oshagh et al. 2014](#)), but this has never been observed. It would also require the plage to have a very clumpy distribution, which is not the situation observed for the Sun, and would not cause an abrupt change in transit depth as seen in the z -band.

Finally, we note that our observations of GJ 1132 were distributed over 124 d, and therefore fortuitously sample the 125 d rotation period of GJ 1132 A very well. Moreover, observations in the different passbands were obtained simultaneously so temporal variations are unable to affect the relative transit depth measurements. We therefore conclude that our observations are not significantly affected by spot activity in the host star. Having checked for and ruled out issues due to individual transits, contaminating light, planetary and stellar variability, we conclude that our transmission spectrum is robust.

7. CONSTRAINTS ON THE INTERIOR AND ATMOSPHERIC COMPOSITION OF THE PLANET

Our multi-band photometric observations allow us to place joint constraints on the interior and atmospheric composition of GJ 1132 b. As discussed above our observations include measurements of transit depth, and hence the planetary radius, in seven photometric bands. As shown in Fig. 4, six

of these seven measurements are consistent with a “surface radius” of $1.35 R_\oplus$ to within the $\sim 2\sigma$ uncertainties. By “surface radius” we mean the smallest radius which is observationally accessible. However, the measurement in the z -band at $0.9 \mu\text{m}$ differs from a flat spectrum by over 4σ , thereby making it highly statistically significant, and suggests a potential contribution from the planetary atmosphere. Additionally, the z -band also overlaps with a strong H_2O absorption band whereas the remaining six bands probe windows in the H_2O opacity. Therefore, it is possible that the latter six bands are all measuring the radius of the planetary “surface” while the z -band is probing a potentially H_2O -rich atmosphere contributing to a higher measured radius. The importance of using radii measured in different bands to represent the interior versus atmosphere has been suggested previously by [Madhusudhan & Redfield \(2015\)](#), an effect which we are likely witnessing in the present case. Therefore, in what follows, we use a combination of interior and atmospheric models to jointly interpret the data.

The observed mass and radius of GJ 1132 b allow us to investigate the possible interior composition of the planet using internal structure models. The planet mass as shown in Table 4 is $1.63 \pm 0.54 M_\oplus$. For the radius, we use the baseline value of $1.35 \pm 0.21 R_\oplus$ discussed above to represent the bulk ‘grey’ radius of the planet. Fig. 7 shows model mass-radius curves of homogeneous super-Earths of different compositions spanning Fe, silicates, and H_2O . The models are described in [Madhusudhan et al. \(2012\)](#). We find that the mass and radius are consistent with two broad compositional regimes. Firstly, an exactly Earth-like composition, with 33% iron, 67% silicates and no volatile layer, is inconsistent with the data within the 1σ uncertainties. But, a composition with higher silicate-to-iron fraction, including a pure silicate planet, is ostensibly consistent with the data, albeit marginally. On the other hand, the data are

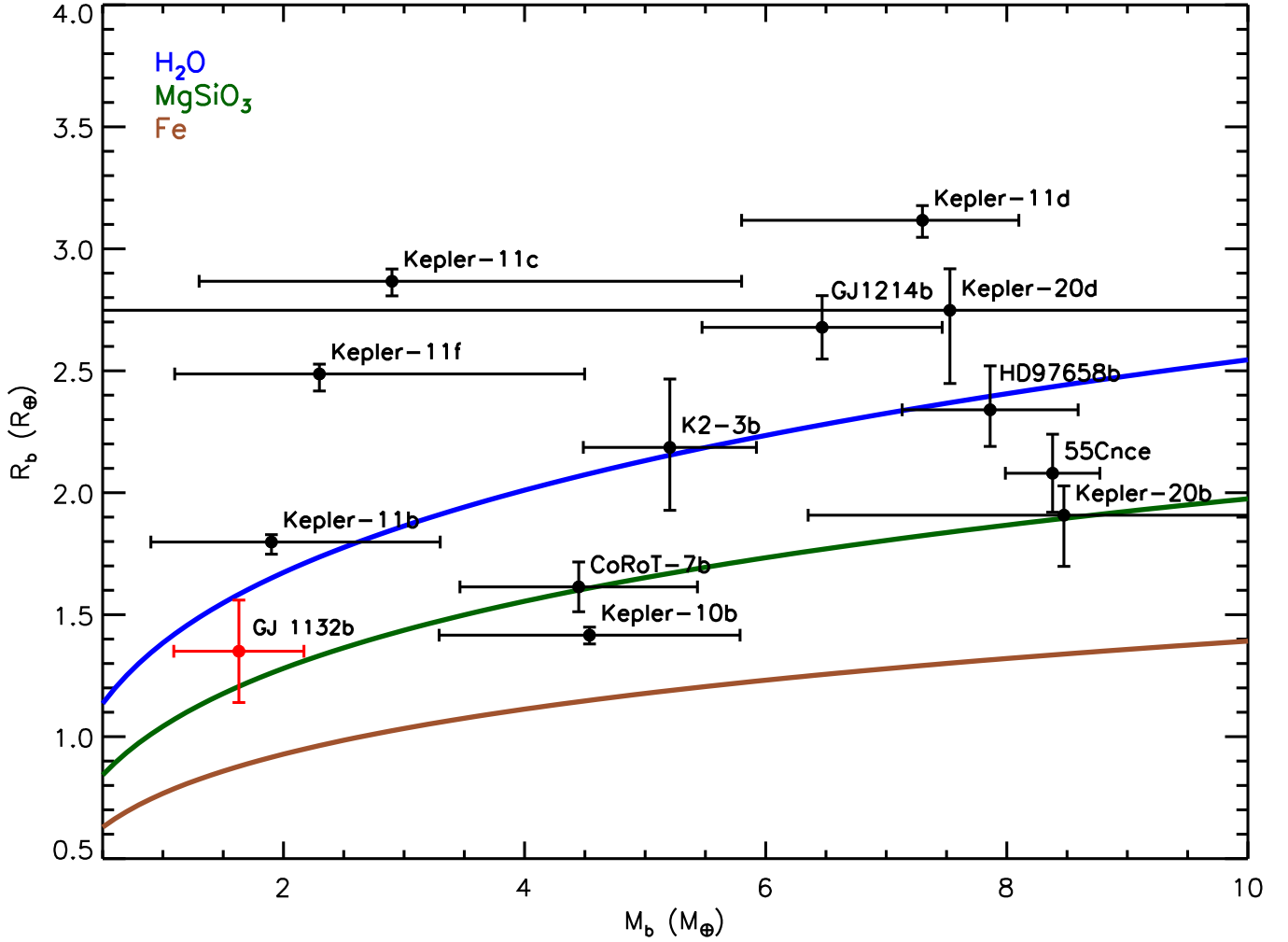


Figure 7. Mass-radius plot showing the properties of GJ 1132 b as well as values for other planets taken from literature sources. The coloured curves show the mass-radius relation for planets composed of pure water (blue), enstatite (green) and iron (brown). All properties are expressed in Earth units.

also consistent with a large range of H_2O mass fractions between 0% and 100% in our models. In principle, consideration of temperature-dependent internal structure models would lead to larger model radii for the same composition (Thomas & Madhusudhan 2016) and therefore could lower the upper limit on the water mass fraction. Nevertheless, the mass and radius of GJ 1132 b allow for a degenerate set of solutions ranging between a purely silicate bare-rock planet and an ocean planet with a substantial H_2O envelope. The degeneracy between the two scenarios could potentially be resolved using spectroscopic observations of the planetary atmosphere, as discussed below.

Considering the transmission spectrum of the planet, we report a tentative inference of H_2O in the planetary atmosphere which provides initial signs of a water-rich world. Fig 8 shows the data and model transmission spectra of GJ 1132 b with different H_2O mixing ratios in a H_2 -rich atmosphere; other compositions are explored in the following section. The data are inconsistent with a flat spectrum by

over 4σ . As discussed above, the key constraint on the atmospheric composition of the planet is governed by the z -band measurement which shows a substantially higher transit depth relative to the baseline. We model the atmospheric transmission spectrum of the planet using the exoplanetary atmospheric modeling method of Madhusudhan & Seager (2009) and Madhusudhan (2012). Given the limited number of data points we did not embark on a full retrieval exercise, but instead systematically investigated a grid of model compositions. In this section, we consider models comprising of only gaseous H_2 and H_2O at different mixing ratios to explore the potential contribution of H_2O to the z -band measurement. We nominally fixed the temperature structure to be isothermal at 600 K, representative of the equilibrium temperature of the planet; nominal variations in the temperature don't change our conclusions significantly. We find that the best model fits to the data, particularly the z -band point, are obtained for H_2O volume mixing ratios of 1–10%, as shown in Fig. 8. On the other hand, the remaining data which are

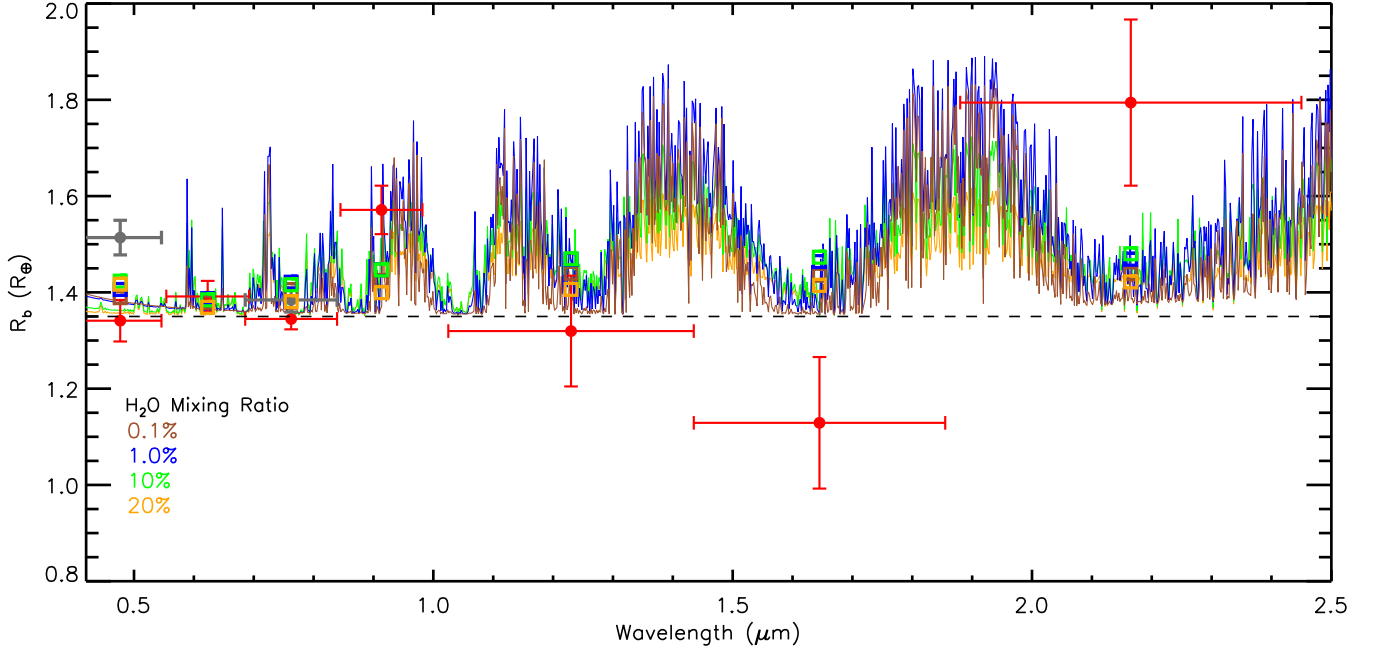


Figure 8. Comparison between the observed transmission spectrum of GJ 1132 b and theoretical spectra for a range of H₂O volume mixing ratios (coloured lines) in a H₂-dominated atmosphere. The red points show results from our GROND observations, the grey points show those from the PISCO data, and the coloured circles indicate the band-integrated values of the theoretical spectra. The grey line shows the baseline radius of the planet.

consistent with a flat spectrum are fit relatively easily for a wide range of models, as the corresponding photometric bands largely probe windows in opacity.

The combined interior and atmosphere models fitting the data are consistent with a water-rich envelope in GJ 1132 b. And, given that the data are inconsistent with a flat spectrum the atmosphere is unlikely to be of very high mean molecular weight, e.g. 100% H₂O or CO₂, or one with thick high altitude clouds. However, since the inference is based primarily on one photometric datapoint (albeit obtained from light curves of six transits), future observations are critical to validate our current finding. We predict that a transmission spectrum of GJ 1132 b observed with HST/WFC3 in the 1.1–1.8 μm spectral range should be able to detect the water absorption in its atmosphere. On the contrary, if such a HST spectrum does not detect an H₂O feature then it might suggest the presence of another molecule in the atmosphere which we have not accounted for in our present models, or the possibility of time-variable events in the planetary atmosphere. It is also necessary that additional observations of the planetary transit in the z -band be conducted to bolster the current finding.

7.1. Transmission spectra calculations using petitCODE

Using the newest version of the *petitCODE* (Mollière et al. 2015, 2016), we performed a second, independent, exploration of the atmospheric properties of the planet. *petitCODE* self-consistently calculates the radiative-convective equilibrium structures of irradiated or self-luminous exoplanet at-

mospheres. Molecular and atomic line opacities, cloud opacities, and H₂–H₂ and H₂–He collision induced absorption (CIA) are taken into account. The code also includes molecular Rayleigh and cloud particle scattering.

For these calculations we used the planetary parameters as defined in Table 4. We assumed a stellar effective temperature of 3270 K from BT15. We calculated our standard suite of models as defined in Mollière et al. (2016) for this planet, consisting of one fiducial model without clouds, two models at half and twice the solar C/O ratio, respectively, and nine different cloud model approaches as defined in table 2 of Mollière et al. (2016). For all models (three clear and nine cloudy) we calculated atmospheric structures and spectra at four different scaled-solar chemical compositions, corresponding to atmospheric metallicities of $[\frac{\text{Fe}}{\text{H}}] = 0, 1, 2$ and 3.

We considered Na₂S and KCl clouds only, because higher-temperature cloud species can most likely not be mixed up to the higher layers of the atmosphere (Charnay et al. 2015; Parmentier et al. 2016). Cloud models 1 to 4 represent models of varying cloud thickness using the model by Ackerman & Marley (2001) and adopting f_{sed} values of 0.01 to 3, where f_{sed} is the ratio of the particle-mass-averaged settling speed and the atmospheric mixing velocity. Cloud models 5 to 9 represent extended clouds with mono-dispersed size distributions. The particle size is fixed at 0.08 μm , which leads to Rayleigh scattering of the clouds in the optical. The cloud mass fraction is equal to the mass fraction derived

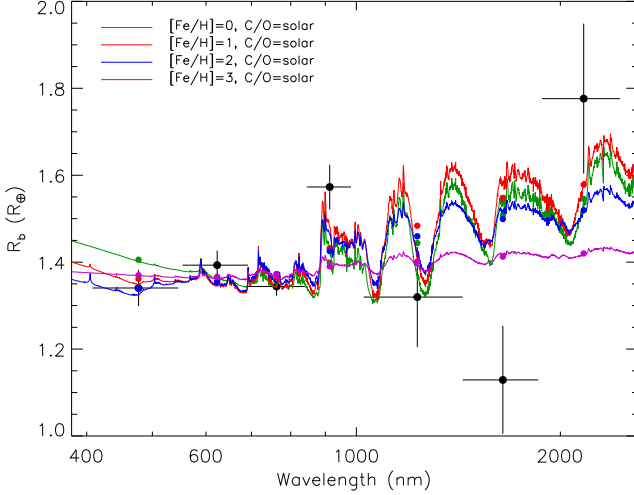


Figure 9. Comparison between the transmission spectrum of GJ 1132 b observed using GROND, and theoretical spectra from *petitCODE* for the four investigated values of $[\text{Fe}/\text{H}]$. Black points show the measured values and coloured points the band-integrated theoretical values of the planetary radius.

from equilibrium chemistry, but not larger than a maximum value X_{max} , which decreases from model 5 to 7. X_{max} can thus be thought of as a simple parametrisation of the settling strength. Cloud models 8 and 9 adopt the same parameter choice as cloud model 6, but additionally include iron clouds (model 8) or a spherical, homogeneous cloud particle shape (model 9). The cloud models therefore cover the parameter space of larger-particle clouds (models 1 to 4), leading to flatter transmission spectra in the optical, to small-particle clouds (models 5 to 9) which lead to Rayleigh scattering. Model 8 will not exhibit Rayleigh scattering if iron can condense within the atmosphere, due to the strong optical absorption of iron.

Each of the model spectra was compared to the observed transmission spectrum and the level of agreement determined. This was done by identifying the lowest χ^2 value between the observed transmission spectra and passband-integrated model values from *petitCODE*, whilst allowing for an overall shift in radius because the spectra were calculated assuming a planetary base radius of $1.44 R_{\oplus}$ at 10 bar pressure. Faced with a multitude of choices over which data to consider, we defaulted to using the GROND *griz* points with one LD coefficient fitted and the GROND *JHK* points with fixed LD coefficients. This is because there is a disagreement between the planet radius in the GROND and PISCO *g* bands, and the former was obtained from nine transits versus the single transit for the latter. We prefer results obtained with fitted LD coefficients because of limitations in the theoretical understanding of the atmospheres of stars as cool as GJ 1132 A. Alternative choices of datapoints will be discussed below.

We found that the best fit to the GROND *grizJHK* transmission spectrum is obtained for *petitCODE* models with

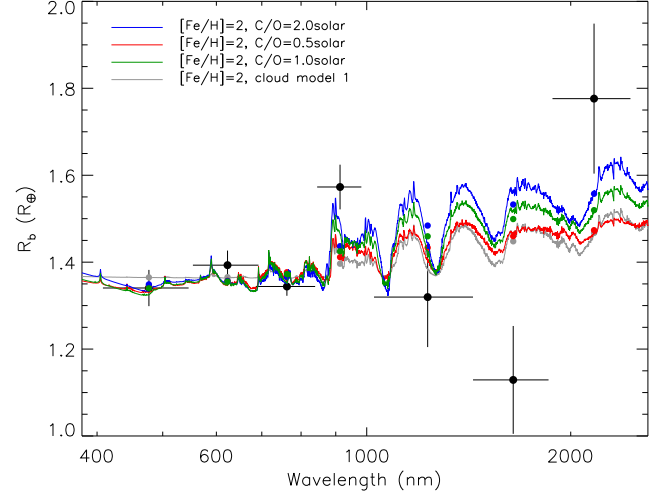


Figure 10. Comparison between the transmission spectrum of GJ 1132 b observed using GROND, and theoretical spectra from *petitCODE*. Each model spectrum has $[\text{Fe}/\text{H}] = 2$ but differs in the choice of C/O ratio or treatment of cloud. Black points show the measured values and coloured points the band-integrated theoretical values of the planetary radius.

$[\text{Fe}/\text{H}] = 2$ ($\chi^2 = 23.9$ for seven datapoints) although acceptable agreement also occurs for the fiducial model with $[\text{Fe}/\text{H}] = 1$ ($\chi^2 = 24.9$). The model with $[\text{Fe}/\text{H}] = 3$ fits less well ($\chi^2 = 25.5$) because the high mean molecular weight of the atmosphere leads to a low atmospheric scale height and therefore muted spectral features (Fig. 9). A featureless spectrum (i.e. a straight line) is clearly a worse fit with $\chi^2 = 27.4$. The χ^2 values are all quite large, and reflect the difficulty of finding a good agreement between the observed and theoretical spectra, particularly in the *JHK* bands where a large scatter is caused by the poor quality of the data.

Within the suite of models with $[\text{Fe}/\text{H}] = 2$, we find a slight preference for the twice-solar C/O ratio ($\chi^2 = 23.5$) and against the half-solar C/O ratio ($\chi^2 = 24.5$). This is driven primarily by an abrupt increase in opacity redward 890 nm due to both CH_4 and H_2O ; a higher C/O ratio enhances the amount of CH_4 and this effect outweighs the loss of H_2O and so leads to a larger radius in the *z* band (Fig. 10). The best cloud model is model 1 for $[\text{Fe}/\text{H}] = 2$ with $\chi^2 = 25.0$, but this leads to a poor fit to the *z*-band planet radius. We therefore conclude that our results are best explained by an atmosphere with $[\text{Fe}/\text{H}] = 2$ and strong *z*-band opacity contributed by CH_4 or H_2O . Even these models underpredict the detected variation of planet radius with wavelength, which also means a cloudy or featureless spectrum is strongly disfavoured.

Turning now to the transmission spectrum from the GROND data with all LD coefficients fixed, we find that the best two models are $[\text{Fe}/\text{H}] = 3$ with a supersolar C/O ratio and $[\text{Fe}/\text{H}] = 2$ with a subsolar C/O ratio. The quality of our data and limitations in the LD treatment means that we are not capable of inferring the C/O ratio in the atmosphere of GJ 1132 b. If instead we consider the totality of our trans-

mission spectral measurements (GROND and PISCO *griz* with fitted LD coefficients, GROND *JHK* with fixed LD coefficients), the fiducial model with $[\frac{\text{Fe}}{\text{H}}] = 0$ is marginally preferred. This is primarily due to the high planet radius in the PISCO *g* band, and shows the limitations of the available observational material.

8. SUMMARY AND CONCLUSIONS

GJ 1132 is a benchmark nearby system containing a low-mass planet transiting a late-M dwarf. We have presented extensive photometry of the system comprising light curves of nine transits observed simultaneously in the *griz* optical and *JHK* near-IR passbands. We have analysed these and literature data to determine the physical properties of the system. We find that the planet is larger than previously thought, $1.44 \pm 0.21 R_{\text{Jup}}$ versus $1.16 \pm 0.11 R_{\text{Jup}}$, from a methodological approach which relies more on observations of the system and less on empirical calibrations of the properties of low-mass stars. The planet’s measured mass and radius are consistent within 1σ with theoretical predictions for a planet composed of silicates or water; a 100% iron composition gives a radius too small by $\sim 2\sigma$.

Our repeat observations allowed us to check for variability in the measured planet radius, and two of the transits do indeed yield radii which is modestly discrepant with measurements from other transits. This could indicate excess scatter among the results, starspots on the stellar surface, unidentified systematic effects in our data, or the presence of weather in the planet atmosphere.

We have constructed an optical-infrared transmission spectrum of GJ 1132 b by modelling all light curves with a consistent geometry. We find an increased planet radius in the *z* band, to a significance level of 4σ , indicative of atmospheric opacity due to water. Detailed investigation of the resulting errorbars was enabled by the observation of nine transits. We find that our results are robust against the rejection of individual transits or the inclusion of contaminating light from a nearby star. The treatment of limb darkening is more concerning, as it affects the results in the *r* and *i* bands at the level of 2.7σ and 3.5σ , respectively. We urge fellow researchers to consider this issue in similar analyses, especially for very cool stars where theoretical LD coefficients are less reliable.

The transmission spectrum was modelled using the atmospheric models of Madhusudhan & Seager (2009), with the finding that H_2O likely causes the enlarged *z*-band radius of the planet. The best fits to the observations are found for H_2O volume mixing ratios of 1–10%, implying a water-rich atmospheric composition which would cause observable spec-

tral features in a 1.1–1.8 μm transmission spectrum obtained using HST/WFC3. From simulations of the atmosphere of GJ 1132 b, Schaefer et al. (2016) found that the presence of H_2O implied either an H_2 envelope or low UV flux from the host star early in the lifetime of the system, and the ongoing presence of a magma ocean on the planet’s surface.

We also calculated theoretical spectra using the *petitCODE* (Mollière et al. 2015), which yield similar results except for the finding that the large *z*-band radius is explicable by an enhanced abundance of CH_4 . A high metallicity of $[\frac{\text{Fe}}{\text{H}}] = 2$ is preferred, depending on the datapoints considered, which is in line with the mass–metallicity correlation seen for more massive planets (Kreidberg et al. 2014a; Mordasini et al. 2016). A straight line is a much poorer fit to the transmission spectrum, confirming that we have detected the atmosphere of a $1.6 M_{\oplus}$ planet.

We advocate extensive further observations to refine and extend our understanding of the GJ 1132 system. High-precision optical light curves from large telescopes would be able to confirm or disprove the larger radius of the planet in the *z*-band, and shed light on the discrepancy seen in the *g*-band. Intermediate-band photometry at 900 nm or bluer than 500 nm would enable finer distinctions to be made between competing model spectra and a clearer understanding of the chemical composition of the planetary atmosphere. The planet’s mean density measurement is also hindered by the weak detection of the velocity motion of the host star, an issue which could be ameliorated with further radial velocity measurements using large telescopes. Finally, infrared transit photometry and spectroscopy should allow the detection of a range of molecules via the absorption features they imprint on the spectrum of the planet’s atmosphere as backlit by its host star.

Our results show that a $1.6 M_{\oplus}$ planet with an equilibrium temperature of 600 K is capable of retaining an extensive atmosphere. The atmosphere contains multiple molecular species and has likely persisted for many Gyr since the formation of the system.

ACKNOWLEDGEMENTS

JS acknowledges financial support from the Leverhulme Trust in the form of a Philip Leverhulme Prize. We thank BT15 for making their photometric data available. The following internet-based resources were used in research for this paper: the ESO Digitized Sky Survey; the NASA Astrophysics Data System; the SIMBAD database and VizieR catalogue access tool operated at CDS, Strasbourg, France; and the arXiv scientific paper preprint service operated by Cornell University.

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